

Pre-Litigation Resolution on Behalf of Broker-Dealer

Stoll Berne attorneys [Keith Ketterling](#) and [Steve Berman](#) represented a regional broker-dealer in pre-litigation resolution of claims arising out of the broker-dealer's agent's sales of securities. Ketterling and Berman assisted their client in avoiding substantial litigation costs and damages, and subsequently obtained partial recovery for the client from third parties.

Case Team



Steven Berman
Attorney



Keith Ketterling
Co-Managing Attorney