

Defense of Broker-Dealer re Investor's Allegations

Stoll Berne attorney [Keith Ketterling](#) defended a broker-dealer against an investor's allegations that improper investments had been made given his investor risk profile and that his account had been churned. Following a three-day arbitration, the FINRA arbitrator awarded nothing to claimant and awarded the broker-dealer its attorney fees.

Case Team



Keith Ketterling
Attorney